

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): David H. Hanes

Confirmation No.:

Application No.: 10/723,482

Examiner:

Filing Date: Nov. 26, 2003

Group Art Unit:

Title: SYSTEM AND METHOD FOR DETERMINING MESSAGES ON A SERVER AS RELATING
TO AT LEAST ONE FUNCTIONAL COMPONENT OF A CLIENT SYSTEM

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before
mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the
pendency of this application, please charge any fees required or credit any overpayment to Deposit
Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with
any required copies of patents, publications or other information of which applicant(s) are aware, which
applicant(s) believe(s) may be material to the examination of this application and for which there may be
a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications
and other foreign language information listed on PTO Form 1449, as presently understood by the
individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the
attached sheet, or where a foreign language patent is cited in a search report or other action by a
foreign patent office in a counterpart foreign application, an English language version of the search
report or action which indicates the degree of relevance found by the foreign office is listed on form PTO
1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☒ I hereby certify that this correspondence is being
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OR

☐ I hereby certify that this paper is being
transmitted to the Patent and Trademark Office
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Typed Name: John Pallivathukal

Signature: [Signature]

Respectfully submitted,

David H. Hanes

By [Signature]

Jody C. Bishop

Attorney/Agent for Applicant(s)
Reg. No. **44,034**

Date: **Dec. 12, 2003**

Telephone No.: **(214) 855-8007**

FORM PTO-1449

ATTY. DOCKET NO.

APPLICATION NO.

CONFIRMATION NO.

200308779-1

10/723,482

APPLICANT

David H. Hanes

FILING DATE

GROUP

Nov. 26, 2003

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,155,847	10/13/1992	Kirouac et al.	
	1B	5,210,854	05/11/1993	Beaverton et al.	
	1C	5,418,524	05/23/1995	Fennell	
	1D	5,450,589	09/12/1995	Maebayashi et al.	
	1E	5,471,629	11/28/1995	Risch	
	1F	5,485,601	01/16/1996	Ching	
	1G	5,586,304	12/17/1996	Stupek, Jr. et al.	
	1H	5,694,546	12/02/1997	Reisman	
	1I	5,732,285	03/24/1998	Harrison et al.	
	1J	5,821,937	10/13/1998	Tonelli et al.	
	1K	5,831,610	11/03/1998	Tonelli et al.	

FOREIGN PATENT DOCUMENTS

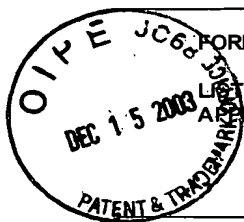
		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

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	2A	5,896,566	04/20/1999	Averbuch et al.	
	2B	5,919,247	07/06/1999	Van Hoff et al.	
	2C	5,983,369	11/09/1999	Bakoglu et al.	
	2D	6,021,433	02/01/2000	Payne et al.	
	2E	6,029,175	02/22/2000	Chow et al.	
	2F	6,088,707	07/11/2000	Bates et al.	
	2G	6,353,926	03/05/2002	Parthesarathy et al.	
	2H	6,496,568	12/17/2002	Nelson	
	2I	2001/0056359	12/27/2001	Abreu	
	2J	2002/0004402	01/10/2002	Suzuki	
	2K	2003/0065773	04/03/2003	Aiba et al.	

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	2L					
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